
ORDINARY MEETING

OF

FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE

MINUTES

Time: 1:00pm
Date: Tuesday, 18 September 2018
Venue: Committee Room 1
Ground Floor, Council Offices
101 Wakefield Street
Wellington

PRESENT

Mayor Lester
Councillor Calvert
Councillor Foster (Chair)
Peter Harris (External)
Phillippa Smith (External)

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1 Meeting Conduct

The Chairperson opened the meeting at 1:05pm.

1.1 Apologies

Moved Councillor Foster, seconded Phillippa Smith

Resolved

That the Finance, Audit and Risk Management Subcommittee:

1. Accept the apologies received from Councillor Free and Roy Tiffin.

Carried

1.2 Conflict of Interest Declarations

No conflicts of interest were declared.

1.3 Confirmation of Minutes

Moved Councillor Foster, seconded Councillor Calvert

Resolved

That the Finance, Audit and Risk Management Subcommittee:

1. Approve the minutes of the Finance, Audit and Risk Management Subcommittee Meeting held on 13 June 2018, having been circulated, that they be taken as read and confirmed as an accurate record of that meeting.

Carried

1.4 Items not on the Agenda

There were no items not on the agenda.

1.5 Public Participation

There were no public participants.

2. PUBLIC EXCLUDED

MOVED COUNCILLOR FOSTER, SECONDED PETER HARRIS

RESOLVED

THAT THE FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE:

1. AGREE THAT KAREN YOUNG, AUDIT DIRECTOR, AUDIT NEW ZEALAND AND MATTHEW GEDDES, AUDIT MANAGER, AUDIT NEW ZEALAND BE PERMITTED TO REMAIN FOR PUBLIC EXCLUDED ITEMS 2.1 – TE AHO MARUTAU | INTERNAL AUDIT REPORT FOR THE YEAR ENDED 30 JUNE 2018, 2.2 – STATUS OF INTERNAL AUDIT FINDINGS AND 2.5 – DRAFT 2017/18 ANNUAL REPORT, AFTER THE PUBLIC HAS BEEN EXCLUDED, BECAUSE OF THEIR KNOWLEDGE OF MATTERS WHICH HELP THE FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE IN ITS DECISION-MAKING.
2. PURSUANT TO THE PROVISIONS OF THE LOCAL GOVERNMENT OFFICIAL INFORMATION AND MEETINGS ACT 1987, EXCLUDE THE PUBLIC FROM THE FOLLOWING PART OF THE PROCEEDINGS OF THIS MEETING NAMELY:

GENERAL SUBJECT OF THE MATTER TO BE CONSIDERED	REASONS FOR PASSING THIS RESOLUTION IN RELATION TO EACH MATTER	GROUND(S) UNDER SECTION 48(1) FOR THE PASSING OF THIS RESOLUTION
2.1 TE AHO MARUTAU INTERNAL AUDIT REPORT FOR THE YEAR ENDED 30 JUNE 2018	7(2)(C)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO PREJUDICE THE SUPPLY OF SIMILAR INFORMATION OR INFORMATION FROM THE SAME SOURCE AND IT IS IN THE PUBLIC INTEREST THAT	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.

2.2 STATUS OF INTERNAL
AUDIT FINDINGS

SUCH INFORMATION SHOULD
CONTINUE TO BE SUPPLIED.

7(2)(C)(II)

THE WITHHOLDING OF THE
INFORMATION IS NECESSARY
TO PROTECT INFORMATION
WHICH IS SUBJECT TO AN
OBLIGATION OF CONFIDENCE
OR WHICH ANY PERSON HAS
BEEN OR COULD BE
COMPELLED TO PROVIDE
UNDER THE AUTHORITY OF
ANY ENACTMENT, WHERE THE
MAKING AVAILABLE OF THE
INFORMATION WOULD BE
LIKELY TO DAMAGE THE
PUBLIC INTEREST.

7(2)(C)(I)

THE WITHHOLDING OF THE
INFORMATION IS NECESSARY
TO PROTECT INFORMATION
WHICH IS SUBJECT TO AN
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INFORMATION WOULD BE
LIKELY TO PREJUDICE THE
SUPPLY OF SIMILAR
INFORMATION OR
INFORMATION FROM THE
SAME SOURCE AND IT IS IN
THE PUBLIC INTEREST THAT
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7(2)(C)(II)

THE WITHHOLDING OF THE
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S48(1)(A)

THAT THE PUBLIC CONDUCT
OF THIS ITEM WOULD BE
LIKELY TO RESULT IN THE
DISCLOSURE OF INFORMATION
FOR WHICH GOOD REASON
FOR WITHHOLDING WOULD
EXIST UNDER SECTION 7.

	OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO DAMAGE THE PUBLIC INTEREST.	
2.3 COUNCIL DEBTOR REPORT	7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO DAMAGE THE PUBLIC INTEREST.	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
	7(2)(H) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL ACTIVITIES.	
2.4 INSURANCE RESERVE FUND PERFORMANCE	7(2)(A) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT THE PRIVACY OF NATURAL PERSONS, INCLUDING THAT OF A DECEASED PERSON.	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
	7(2)(B)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHERE THE MAKING	

	AVAILABLE OF THE INFORMATION WOULD DISCLOSE A TRADE SECRET.	
2.5 DRAFT 2017/18 ANNUAL REPORT	7(2)(B)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY UNREASONABLY TO PREJUDICE THE COMMERCIAL POSITION OF THE PERSON WHO SUPPLIED OR WHO IS THE SUBJECT OF THE INFORMATION.	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
	7(2)(G) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO MAINTAIN LEGAL PROFESSIONAL PRIVILEGE.	
	7(2)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY ON, WITHOUT PREJUDICE OR DISADVANTAGE, NEGOTIATIONS (INCLUDING COMMERCIAL AND INDUSTRIAL NEGOTIATIONS).	
2.6 RISK MANAGEMENT AND STRATEGIC RISKS UPDATE	7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.

	LIKELY TO DAMAGE THE PUBLIC INTEREST.	
2.7 HEALTH AND SAFETY REPORT - YEAR END 30 JUNE 2018	7(2)(A) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT THE PRIVACY OF NATURAL PERSONS, INCLUDING THAT OF A DECEASED PERSON. 7(2)(D) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO AVOID PREJUDICE TO MEASURES PROTECTING THE HEALTH AND SAFETY OF MEMBERS OF THE PUBLIC.	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
2.8 SIGNIFICANT PROJECTS RISK REPORT	7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO DAMAGE THE PUBLIC INTEREST. 7(2)(G) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO MAINTAIN LEGAL PROFESSIONAL PRIVILEGE. 7(2)(H) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.

ACTIVITIES.

7(2)(l)

THE WITHHOLDING OF THE
INFORMATION IS NECESSARY
TO ENABLE THE LOCAL
AUTHORITY TO CARRY ON,
WITHOUT PREJUDICE OR
DISADVANTAGE,
NEGOTIATIONS (INCLUDING
COMMERCIAL AND INDUSTRIAL
NEGOTIATIONS).

CARRIED

The meeting went into public excluded session at 1:08pm.

(Mayor Lester arrived to the meeting at 1:10pm.)

(Mayor Lester left the meeting at 3:36pm.)

The meeting concluded at 3:57pm.

Confirmed: _____
Chair