
ORDINARY MEETING

OF

FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE

MINUTES

Time: 9:30 am
Date: Tuesday, 17 September 2019
Venue: Ngake (16.09)
Level 16, Tahiwī
113 The Terrace
Wellington

PRESENT

Mayor Lester
Councillor Calvert
Councillor Foster (Chair)
Councillor Free
Peter Harris (External)
Phillippa Smith (External)
Roy Tiffin (External)

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1. Meeting Conduct

The Chairperson opened the meeting at 9:30 am.

1.1 Apologies

No apologies were received.

1.2 Conflict of Interest Declarations

No conflicts of interest were declared.

1.3 Confirmation of Minutes

Moved Councillor Foster, seconded Councillor Free

Resolved

That the Finance, Audit and Risk Management Subcommittee:

1. Approve the minutes of the Finance, Audit and Risk Management Subcommittee Meeting held on 12 June 2019, having been circulated, that they be taken as read and confirmed as an accurate record of that meeting.

Carried

1.4 Items not on the Agenda

There were no items not on the Agenda.

1.5 Public Participation

There was no public participation at this meeting.

2. General Business

2.1 Health & Safety Report

Moved Councillor Foster, seconded Mayor Lester

That the Finance, Audit and Risk Management Subcommittee:

1. Receive the information.
2. Recommend to the City Strategy Committee to receive the information on the 25th September.

Moved Councillor Calvert, seconded Councillor Free, the following amendment

Resolved

3. Request officers to provide members with an assessment of progress made on performance of officers execution of due diligence and include that as part of the next health and safety report.

Carried

Moved Councillor Foster, seconded Mayor Lester, the following substantive motion

Resolved

That the Finance, Audit and Risk Management Subcommittee:

1. Receive the information.
2. Recommend to the City Strategy Committee to receive the information on the 24th 25th September.
3. Request officers to provide members with an assessment of progress made on performance of officers execution of due diligence and include that as part of the next health and safety report.

Carried

3. PUBLIC EXCLUDED

MOVED COUNCILLOR FOSTER, SECONDED PETER HARRIS

RESOLVED

THAT THE FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE:

1. AGREE THAT KAREN YOUNG, AUDIT DIRECTOR, AUDIT NEW ZEALAND, MATTHEW GEDDES, AUDIT MANAGER, AUDIT NEW ZEALAND, AND ANDREA KIECK, ASSISTANT MANAGER, AUDIT NEW ZEALAND BE PERMITTED TO REMAIN FOR PUBLIC EXCLUDED ITEMS, AFTER THE PUBLIC HAS BEEN EXCLUDED, BECAUSE OF THEIR KNOWLEDGE OF MATTERS WHICH HELP THE FINANCE, AUDIT AND RISK MANAGEMENT SUBCOMMITTEE IN ITS DECISION-

MAKING.

2. PURSUANT TO THE PROVISIONS OF THE LOCAL GOVERNMENT OFFICIAL INFORMATION AND MEETINGS ACT 1987, EXCLUDE THE PUBLIC FROM THE FOLLOWING PART OF THE PROCEEDINGS OF THIS MEETING NAMELY:

GENERAL SUBJECT OF THE MATTER TO BE CONSIDERED	REASONS FOR PASSING THIS RESOLUTION IN RELATION TO EACH MATTER	GROUND(S) UNDER SECTION 48(1) FOR THE PASSING OF THIS RESOLUTION
3.1 TE AHO MARUTAU INTERNAL AUDIT REPORT FOR THE YEAR ENDED 30 JUNE 2019	7(2)(C)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO PREJUDICE THE SUPPLY OF SIMILAR INFORMATION OR INFORMATION FROM THE SAME SOURCE AND IT IS IN THE PUBLIC INTEREST THAT SUCH INFORMATION SHOULD CONTINUE TO BE SUPPLIED.	S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
	7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE	

	<p>LIKELY TO DAMAGE THE PUBLIC INTEREST.</p>	
<p>3.2 DRAFT ANNUAL REPORT 2018/19</p>	<p>7(2)(B)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY UNREASONABLY TO PREJUDICE THE COMMERCIAL POSITION OF THE PERSON WHO SUPPLIED OR WHO IS THE SUBJECT OF THE INFORMATION.</p> <p>7(2)(G) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO MAINTAIN LEGAL PROFESSIONAL PRIVILEGE.</p> <p>7(2)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY ON, WITHOUT PREJUDICE OR DISADVANTAGE, NEGOTIATIONS (INCLUDING COMMERCIAL AND INDUSTRIAL NEGOTIATIONS).</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.</p>
<p>3.3 LEGAL UPDATE</p>	<p>7(2)(G) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO MAINTAIN LEGAL PROFESSIONAL PRIVILEGE.</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.</p>
<p>3.4 RISK MANAGEMENT UPDATE</p>	<p>7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION</p>

	OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO DAMAGE THE PUBLIC INTEREST.	FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.
3.5 STATUS OF INTERNAL AUDIT FINDINGS	<p>7(2)(C)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO PREJUDICE THE SUPPLY OF SIMILAR INFORMATION OR INFORMATION FROM THE SAME SOURCE AND IT IS IN THE PUBLIC INTEREST THAT SUCH INFORMATION SHOULD CONTINUE TO BE SUPPLIED.</p> <p>7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.</p>

	<p>LIKELY TO DAMAGE THE PUBLIC INTEREST.</p>	
3.6 INSURANCE RESERVE FUND PERFORMANCE	<p>7(2)(A) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT THE PRIVACY OF NATURAL PERSONS, INCLUDING THAT OF A DECEASED PERSON.</p> <p>7(2)(B)(I) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD DISCLOSE A TRADE SECRET.</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.</p>
3.7 COUNCIL DEBTOR REPORT	<p>7(2)(C)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO PROTECT INFORMATION WHICH IS SUBJECT TO AN OBLIGATION OF CONFIDENCE OR WHICH ANY PERSON HAS BEEN OR COULD BE COMPELLED TO PROVIDE UNDER THE AUTHORITY OF ANY ENACTMENT, WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY TO DAMAGE THE PUBLIC INTEREST.</p> <p>7(2)(H) THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL ACTIVITIES.</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.</p>
3.8 PROJECT GOVERNANCE UPDATE	<p>7(2)(B)(II) THE WITHHOLDING OF THE INFORMATION IS NECESSARY</p>	<p>S48(1)(A) THAT THE PUBLIC CONDUCT OF THIS ITEM WOULD BE</p>

TO PROTECT INFORMATION WHERE THE MAKING AVAILABLE OF THE INFORMATION WOULD BE LIKELY UNREASONABLY TO PREJUDICE THE COMMERCIAL POSITION OF THE PERSON WHO SUPPLIED OR WHO IS THE SUBJECT OF THE INFORMATION.

7(2)(H)
THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY OUT, WITHOUT PREJUDICE OR DISADVANTAGE, COMMERCIAL ACTIVITIES.

7(2)(I)
THE WITHHOLDING OF THE INFORMATION IS NECESSARY TO ENABLE THE LOCAL AUTHORITY TO CARRY ON, WITHOUT PREJUDICE OR DISADVANTAGE, NEGOTIATIONS (INCLUDING COMMERCIAL AND INDUSTRIAL NEGOTIATIONS).

LIKELY TO RESULT IN THE DISCLOSURE OF INFORMATION FOR WHICH GOOD REASON FOR WITHHOLDING WOULD EXIST UNDER SECTION 7.

CARRIED

The meeting went into public excluded session at 9:49 am.

The meeting concluded at 12:45 pm.

In accordance with Standing Order 3.22.2, we hereby confirm the correctness of these minutes of the last meeting of the Finance, Audit and Risk Management Subcommittee held on 17 September 2019 prior to the next election of Wellington City Council.

Confirmed: _____
Chair

Confirmed: _____
Chief Executive